



Atty. Dkt. No. 076776-0121

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Walter DEMUTH et al.

Title: METHOD OF FORMING FLAT-TUBE INSERTION SLOTS IN A HEADER TUBE

Appl. No.: 10/051,374

Filing Date: 01/22/2002

Examiner: Unassigned

Art Unit: 3743

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on February 13, 2002, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- Declaration and Power of Attorney (12 pages) and copy of specification as filed
- Return Copy of Notice to File Missing Parts
- Claim for Convention Priority and Certified Copy of Priority Document

The filing fee is calculated below:

Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee			\$740.00	\$740.00
Total Claims: <u>12</u>	- <u>20</u>	= <u>0</u>	x \$18.00	= \$0.00
Independents: <u>3</u>	- <u>3</u>	= <u>0</u>	x \$84.00	= \$0.00
If any Multiple Dependent Claim(s) present:		+ <u></u>	\$280.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee		+ <u></u>	\$130.00	= \$130.00
SUBTOTAL:				= \$870.00
<input type="checkbox"/> Small Entity Fees Apply (subtract 1/2 of above):				= \$0.00
TOTAL FILING FEE:				= \$870.00
TOTAL FEE				= \$870.00

Atty. Dkt. No. 076776-0121

Check in the amount of \$870.00 in payment of
the above fees (37 C.F.R. § 1.16(e))

Please charge Deposit Account No. 19-0741 in the
amount of \$0.00 in payment of surcharge fee
(37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

The attached specification is a copy of the specification and any amendments thereto which were filed in the U.S. Patent and Trademark Office in order to obtain a filing date for the application.

Respectfully submitted,

MAR 27 2002

Date _____

By Richard L. Schwaab _____

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UNITED STATES PATENT AND TRADEMARK OFFICE

OPIE JC16
MAR 27 2002

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/051,374	01/22/2002	Walter Demuth	076776-0121

CONFIRMATION NO. 6987

FORMALITIES LETTER



OC000000007472946

Richard L. Schwaab
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Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2002 SDENB0B1 00000093 10051374

01 FC:101
02 FC:105

740.00 OP
130.00 OP